

APPENDIX 1: Markets in Financial Instruments Directive Form

1 YOU ARE ONLY REQUIRED TO COMPLETE THE BELOW FORM IF A) YOU ARE NOT A UK NATIONAL OR B) YOU HAVE UK NATIONALITY AS WELL AS DUAL/MULTI NATIONALITY. YOU DO NOT NEED TO COMPLETE THIS FORM IF YOU HAVE SOLE UK NATIONALITY.

A new EU Directive and Regulation (known as MiFID II) requires us to collect additional information that we may not currently hold in order to complete your transaction. Please complete the form below with as much information as you can. Please see overleaf for further information on how to complete the form. For more information about MiFID II or for help completing this form, please call our Helpline on +44 (0) 20 7649 9268.

PERSONAL INFORMATION

First Name(s):

Last Name:

Date Of Birth:

D	D	M	M	Y	Y	Y	Y
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NATIONALITY DETAILS

PLEASE READ THE INSTRUCTIONS BELOW AND THE FURTHER INFORMATION OVERLEAF CAREFULLY BEFORE COMPLETING THIS SECTION

A) COUNTRY DETAILS

Please state the country of your nationality. If you hold more than one nationality you must list the countries (up to 3) in the order they appear in the further information table overleaf.

Example 1: Croatia/Czech Rep - If you hold dual nationality with Croatia and Czech Republic, you must list Czech Republic first as this appears first in the table overleaf.

Example 2: Argentina/Spain - If you hold dual nationality with Argentina and Spain you must list Spain first.

Country 1:

Country 2:

Country 3:

B) NATIONAL IDENTIFIER

Based on the country you have listed **FIRST** in section A, please provide either your Primary or Secondary National Identifier, shown in the table overleaf. You **must** supply the Primary National Identifier, unless you do not hold it. Only if you do not hold the Primary National Identifier, should you provide the Secondary National Identifier.

Example 1 Croatia/Czech Rep: You would supply your National Identification Number (Rodné číslo). If you were unable to, you would provide your Passport Number.

Example 2 Argentina/Spain: You would supply your Tax Identification Number (Código de identificación fiscal). If you were unable to, your transaction would be rejected as Spain is in the list of countries for which the National Identifier **must** be supplied.

National Identifier:

If you do not hold any National Identifiers, please place an 'X' in this box

C) NATIONAL IDENTIFIER TYPE

So that we know which identifier you have provided, please tell us if you provided the Primary or Secondary National Identifier by placing an 'X' in one of the boxes below:

Primary Identifier

OR

Secondary Identifier

SIGN AND DATE

By signing this form you are confirming that the information you have provided is correct. If this form is incorrect, incomplete or not returned, your transaction request will be rejected and the transaction will not be completed.

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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Further Information

When completing Section 2 of the form overleaf, please use the Primary National Identifier from the table below. **Only** if you are unable to provide the Primary National Identifier should you provide the Secondary Identifier. If you have any queries completing this form, please call our Helpline on **+44(0) 20 7649 9268**.

Please Note: Failure to provide a National Identifier for the following nationalities will result in your transaction being rejected:
- Estonia - Iceland - Italy - Malta - Poland - Spain

Country Name	Primary National Identifier	Secondary National Identifier
Austria (AT)	Write 'N/A'	-
Belgium (BE)	Belgium National Number (Numéro de register national – Rijksregisternummer)	-
Bulgaria (BG)	Bulgarian Personal Number	-
Cyprus (CY)	National Passport Number	-
Czech Republic (CZ)	National Identification Number (Rodné číslo)	Passport Number
Germany (DE)	Write 'N/A'	-
Denmark (DK)	Personal identity code	-
Estonia (EE)	Estonian Personal Identification Code (Isikukood)	-
Spain (ES)	Tax Identification Number (Código de identificación fiscal)	-
Finland (FI)	Personal Identity Code	-
France (FR)	Write 'N/A'	-
United Kingdom (GB)	UK National Insurance Number	-
Greece (GR)	10 DSS Digit Investor Share	-
Croatia (HR)	Personal Identification Number (OIB – Osobni identifikacijski broj)	-
Hungary (HU)	Write 'N/A'	-
Ireland (IE)	Write 'N/A'	-
Iceland (IS)	Personal Identity Code	-
Italy (IT)	Fiscal Code (Codice Fiscale)	-
Liechtenstein (LI)	National Passport Number	National Identity Card Number
Lithuania (LT)	Personal Code (Asmens Kodas)	National Passport Number
Luxembourg (LU)	Write 'N/A'	-
Latvia (LV)	Personal Code (Personas Kods)	-
Malta (MT)	National Identification Number	National Passport Number
Netherlands (NL)	National Passport Number	National Identity Card Number
Norway (NO)	Personal ID (Foedselsnummer)	-
Poland (PL)	National Identification Number (PESEL)	Tax Number (Number Identyfikacji podatkowej)
Portugal (PT)	Tax Number (Número de Identificação Fiscal)	National Passport Number
Romania (RO)	National Identification Number (Cod Numeric Personal)	National Passport Number
Sweden (SE)	Personal Identity Number	-
Slovenia (SI)	Personal Identification Number (EMŠO: Enotna Matična Številka Občana)	-
Slovakia (SK)	Personal Number (Rodné číslo)	National Passport Number
All Other Countries (Including Crown Dependencies and British Overseas Territories)	National Passport Number	-

Please Note: If you are listing 2 or more countries in section 2 that are **not** in the list above (i.e. 2 or more countries from 'All Other Countries') you will need to list them in **Country Code alphabetical order**. To find out the Country Code and correct order to list the countries, please visit www.nationalitycalculator.co.uk or call our Helpline on **+44(0) 20 7649 9268**.